

ACCOUNT INFORMATION CHANGE REQUEST

- Please fill in all information in the section of Account Information, and only information changed in the section of Information Changed.
- When you submit the form, please ensure the necessary documents as your ID described separately is attached.
- Once the request process has been completed, you will receive E-mail confirmation. Please note account data has not been changed before you receive the mail.

Listed Company Worker Declaration

It is a regulatory requirement that all clients who could trade CFDs on Japanese shares must declare whether they are, or a member of their household is, a Director or Senior Officer of a listed company.

You are a Director or Senior Officer of a listed company if you fall into one of the following categories:

(1) A director, accounting advisor, company auditor, or executive officer (hereinafter referred to as an "Officer") of a listed company, etc.;

(2) The Officer of a parent company or of a major subsidiary of a listed company, etc.;

(3) A person who is no longer an Officer set forth in Item 1 and Item 2 but one year has not yet passed since his/her resignation;

(4) The spouse of an Officer of a listed company, etc. or a person who lives with the Officer of a listed company, etc.;

(5) An employee or other worker of a listed company, etc. who is an executive officer or in a position equivalent to such an Officer;

(6) An employee or other worker of a listed company, etc. who belongs to a section where such a person is highly likely to find out a material fact related to the business of the listed company, etc. as prescribed in the provisions of Article 166 of the FIEA (hereinafter referred to as "Material Fact");

(7) An employee or other worker of a parent company or a major subsidiary of a listed company, etc. who is an executive officer or in a position equivalent to such an Officer;

(8) An employee or other worker of a parent company or a major subsidiary of a listed company, etc. who belongs to a section where the person is highly likely to know a Material Fact ;(9) A major shareholder of a listed company, etc. ;

(10) A major shareholder who holds voting rights exceeding 10 percent of the voting rights held by all the shareholders.

We will always be pleased to help with any questions you might have.
We offer phone support from AM7:00-PM11:00 Monday to Friday.

 **0120-25-7734**

 **info@igmarkets.co.jp**

IG Markets Securities Limited

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www.igmarkets.co.jp

Kanto Financial Bureau - Registered FIF #255 Commodity Futures Business, Member of the Financial Futures Association of Japan #1168,
The Commodity Futures Association of Japan

ACCOUNT INFORMATION CHANGE REQUEST

–PERSONAL–

To IG Markets Securities Limited

I apply for the account information change to your company as follows.



Account Information		Required Item	Filling Date
Name			(Year/Month/Day) / /
			Date of Birth (Year/Month/Day) / /
			Contact Number - -
User Name			
Address	〒		

Information Changed

Please fill in your new information.

Name	Furigana	Contact Number	- -
		Mobile Number	- -
Address	〒		
E-mail (PC)			
E-mail (Mobile)			

Bank Information

Please make sure that the name entered is matches the name shown on your bank book. We can only accept and remit funds to a bank account held in your name. We cannot accept 3rd party payments or remit funds to an account in your name.

Bank Name	Branch Name	<input type="checkbox"/> Ordinary <input type="checkbox"/> Checking <input type="checkbox"/> Saving
Account Number	Account Holder (Katakana)	

Employment Information

We will not pass any of your personal details to 3rd parties or contact your employer.

Employment Company Name	Department	Title
Occupation	<input type="checkbox"/> Listed company worker <input type="checkbox"/> Unlisted company worker <input type="checkbox"/> Listed company director <input type="checkbox"/> Unlisted company director <input type="checkbox"/> Civil servant <input type="checkbox"/> Teacher <input type="checkbox"/> Part-time/temp worker <input type="checkbox"/> Self employed <input type="checkbox"/> Practitioner (doctor/lawyer) <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Unemployed	
Type of Industry	<input type="checkbox"/> Production / Factory <input type="checkbox"/> Trading / wholesale / retail <input type="checkbox"/> Services <input type="checkbox"/> Securities / Finance / Insurance <input type="checkbox"/> Building / Real estate <input type="checkbox"/> Hospital / Medical institution <input type="checkbox"/> Electric / Water / Gas <input type="checkbox"/> School / Educational institution <input type="checkbox"/> Agriculture and forestry / Fisheries / Metals <input type="checkbox"/> Government office / Association / Group	
Annual Salary	<input type="checkbox"/> Less than 2m <input type="checkbox"/> Between 2m and 3m <input type="checkbox"/> Between 3m and 10m <input type="checkbox"/> Between 10m and 20m <input type="checkbox"/> More than 20m	

Listed Company Worker Declaration

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<input type="checkbox"/> I apply for the information change of listed company worker declaration as follows. <input type="checkbox"/> I became unnecessary Listed Company Worker Declaration.	
Categories	<input type="checkbox"/> (1)A director, accounting advisor, company auditor, or executive officer (hereinafter referred to as an "Officer") of a listed company, etc. <input type="checkbox"/> (2) The Officer of a parent company or of a major subsidiary of a listed company, etc. <input type="checkbox"/> (3)A person who is no longer an Officer set forth in Item 1 and Item 2 but one year has not yet passed since his/her resignation <input type="checkbox"/> (4)The spouse of an Officer of a listed company, etc. or a person who lives with the Officer of a listed company, etc. <input type="checkbox"/> (5)An employee or other worker of a listed company, etc. who is an executive officer or in a position equivalent to such an Officer; <input type="checkbox"/> (6)An employee or other worker of a listed company, etc. who belongs to a section where such a person is highly likely to find out a material fact related to the business of the listed company, etc. as prescribed in the provisions of Article 166 of the FIEA (hereinafter referred to as "Material Fact") <input type="checkbox"/> (7)An employee or other worker of a parent company or a major subsidiary of a listed company, etc. who is an executive officer or in a position equivalent to such an Officer; <input type="checkbox"/> (8)An employee or other worker of a parent company or a major subsidiary of a listed company, etc. who belongs to a section where the person is highly likely to know a Material Fact <input type="checkbox"/> (9) A major shareholder of a listed company, etc. <input type="checkbox"/> (10)A major shareholder who holds voting rights exceeding 10 percent of the voting rights held by all the shareholders.
	Name of the Company

Assets Information

Please be sure to fill ① and ② in Investible Funds if you change Financial Assets.

Financial Assets	<input type="checkbox"/> Less than 0.5m <input type="checkbox"/> Between 0.5m and 2m <input type="checkbox"/> Between 2m and 5m <input type="checkbox"/> Between 5m and 20m <input type="checkbox"/> More than 20m			
Investible funds	① Total amount of investible funds	② Investible funds for commodities CFD out of ①		
	JPY		JPY	

料
金
受
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人
払
郵
便

銀座支店
承認
3214

差出有効期間
平成25年1月
31日まで
(切手を貼らずに
お出してください)

1 0 5 8 7 9 0

3 6 5

東京都港区東新橋 1-5-2 汐留シティセンター10F
IG マーケッツ証券株式会社
口座登録変更係 宛

